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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David M. Bohannon Docket: 102404-200
Serial No.: 10/646,177 Art Unit: 2153
Filed: August 22, 2003 Examiner: Not yet assigned
Conf. No. 9089 Cust. No. 27267
Assignee: Secured Marine Trust, LLC
Title: SYSTEM AND METHOD FOR MONITORING AND CONDUCTING
TRANSACTIONS OF OBJECTS OF VALUE

Certificate of Mailing

Date of Deposit: May 20, 2004
I hereby certify under 37 CFR 1.8(a) that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: 

Name: Elizabeth A. Geschke

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, please find enclosed an Information Disclosure Statement, Form PTO-1449 and one copy of each cited reference. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).

☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).

☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.

☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.

☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any

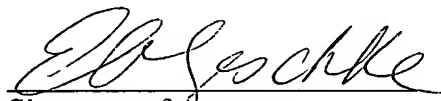
individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

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- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,
David M. Bohannon



Signature of Attorney
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Date: May 20, 2004
Reg. No. 52,941



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:	10/646,177	Art Unit:	2153
Filed:	August 22, 2003	Examiner:	Not yet assigned
Conf. No.	9089	Cust. No.	27267
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Signed:

Name: Elizabeth A. Geschke

INFORMATION DISCLOSURE STATEMENT

**Mail Stop Non- Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Dear Sir:

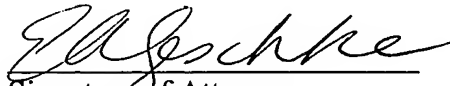
In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to make of record the items on attached PTO Form 1449 which were considered in the preparation of the subject and parent applications. Copies of the items are enclosed herein.

1. U.S. Patent No. 5,899,978 to Irwin, issued May 4, 1999.
2. U.S. Patent No. 6,076,064 to Rose, Jr. issued June 13, 2000.
3. Internet website entitled "Marine Title Company," printed September 19, 2002, original date of posting unknown.

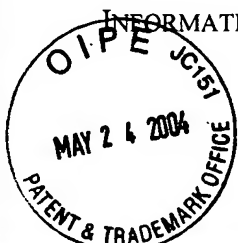
The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as representation that no better art exists or that a thorough patentability search has been made.

Respectfully submitted,
David M. Bohannon

Date: May 20, 2004
Reg. No. 52,941


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		ATTY. DOCKET NO.:		SERIAL NO.:		
		102404-200		10/646,177		
		APPLICANT:				
DAVID M. BOHONNON						
FILING DATE:				GROUP:		
AUGUST 22, 2003				2153		
U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPR.
	5,899,978	05/04/99	Irwin	705	1	
	6,076,064	06/13/00	Rose, Jr.	705	1	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
Internet website entitled " <i>Marine Title Company</i> ," printed September 19, 2002, original date of posting unknown.						
EXAMINER:				DATE CONSIDERED:		
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>						